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Annex US.II, page 1

licant's Guid

| FORM PTO-1 | 190 US-22A | ORNEY'S DOCKET NUMBER | | | | | | |
|---|---|---|---|--|--|--|--|--|
| | RANSMITTAL LETTER | 0796/61556 | | | | | | |
| | | TED OFFICE (DO/EO/US) | U.S. APPLICATION NO. (If known, see:37 CFR 1.5) | | | | | |
| | CONCERNING A FILIT | NG UNDER 35 U.S.C. 371 | Not 0x9t /kh5 49 2 4 4 | | | | | |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE | | | PRIORITY DATE CLAIMED September 26, 1997 | | | | | |
| | T/IB/98/01500 DEINVENTION METHOD FOR | September 28, 1998 R GENERATING AND VERIFYING DIG | | | | | | |
| | EXCHANGING | G DATA CONTAINING DIGITAL WATE | RMARKS | | | | | |
| APPLICA | APPLICANT(S) FOR DO/EO/US Alexander Herrigel, Joseph J.K. O'Ruanaidh and Thierry Pun | | | | | | | |
| Applican | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | |
| ı. 🗴 | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 3. | This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). | | | | | | | |
| 4. X | - | | | | | | | |
| 5. X | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | a. X is transmitted herewith (required only if not transmitted by the International Bureau). | | | | | | | |
| | <u></u> | the International Bureau. oplication was filed in the United States Receiv | ving Office (RO/US). | | | | | |
| 6. | | Application into English (35 U.S.C. 371(c)(2) | | | | | | |
| 7. 🕱 | | International Application under PCT Article 1 | | | | | | |
| | a. X are transmitted herewith | (required only if not transmitted by the International | ational Bureau). | | | | | |
| | = | y the International Bureau. | l | | | | | |
| | | wever, the time limit for making such amendm | ients has NOT expired. | | | | | |
| | d. have not been made and | | | | | | | |
| 8. | | to the claims under PCT Article 19 (35 U.S.C. | | | | | | |
| 9. X | | entor(s) (35 U.S.C. 371(c)(4)). (unsigned) | | | | | | |
| 10. | A translation of the annexes to th (35 U.S.C. 371(c)(5)). | e International Preliminary Examination Repo | rt under PCT Article 36 | | | | | |
| Items I | 1. to 16. below concern documen | ıt(s) or information included: | | | | | | |
| H. 🔲 | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. 🕱 | A FIRST preliminary amendment | t. | | | | | | |
| | A SECOND or SUBSEQUENT F | oreliminary amendment. | | | | | | |
| 14. | A substitute specification. | | | | | | | |
| 15. | A change of power of attorney ar | nd/or address letter. | | | | | | |
| 16. | Other items or information: | | | | | | | |
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From PTO-1900 (REV 10-96) Project of 2

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| Not YetO | 多 | 0796/61556 | | | | | | |
|---|--|--------------------------------------|----------------------|---------------------------|---------------------|--|--|--|
| | llowing fees are submitted: | | | CALCULATIONS | | | | |
| | AL FEE (37 CFR 1.492 (a |)(1)-(5)): | | | | | | |
| | | EPO or JPO | \$840.00 | | | | | |
| Internationa | International preliminary examination fee paid to USPTO (37 CFR 1.482) | | | | | | | |
| No internati | onal preliminary examinatio | | | | | | | |
| but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor | | | | | | | | |
| | international search fee (37 CFR 1.445(a)(2)) paid to USPTO 3 970.00 | | | | | | | |
| and all claim | International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) | | | | | | | |
| S | | PRIATE BASIC FEE A | | s 970.00 | | | | |
| months from the | 0.00 for furnishing the oath carliest claimed priority date | (37 CFR 1.492(e)). | 20 🗶 30 | \$ 130.00 | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | |
| Total claims | 22 - 20 = | 2 | X 2[8.00 | s 36.00 | | | | |
| Independent claims | 4 - 3 = | 1 | X \$78.00 | s 78.00 | | | | |
| MULTIPLE DEPE | NDENT CLAIM(S) (if applic | | + \$260.00 | S | | | | |
| <u> </u> | | OF ABOVE CALCULA | | \$1,214.00 | | | | |
| must also by filed | for filing by small entity, if (Note 37 CFR 1.9, 1.27, 1.2 | applicable. Verified Small E 28). | ntity Statement | S | | | | |
| 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | | SUE | TOTAL = | S | | | | |
| Processing fee of months from the e | \$130.00 for furnishing the Earliest claimed priority date | S | | | | | | |
| | · · · · · · · · · · · · · · · · · · · | TOTAL NATIO | + NAL FEE = | \$1,214.00 | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | | | | |
| <u> </u> | | \$1,214.00 | | | | | | |
| | | | | Amount to be: refunded | S | | | |
| | | | | charged | 5 | | | |
| a. A check | in the amount of \$ | to cover the abo | ove fees is enclosed | | | | | |
| | | | | | | | | |
| b. X Please ch A duplic | Please charge my Deposit Account No. 03-3125 in the amount of \$ 1,214.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-3125. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR | | | | | | | | |
| 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | |
| SEND ALL | L CORRESPONDENCE TO | | | | \bigcap Λ | | | |
| | 1d S. Dowden, Reg. | No. 20.701 | 15 Ja | uld Dog | Lowde | | | |
| Соор | er & Dunham LLP | | SIGNA | TURE. | | | | |
| 1185 | Avenue of the Ame | ericas | Don | ald S. Dowden | | | | |
| New (212 | New York, New York 10036 (212) 278-0400 | | | | | | | |
| (212 | , | | | . No. 20,701 | | | | |
| REGISTRATION NO | | | | | | | | |
| | | | | | | | | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Alexander Herrigel, Joseph J.K. O'Ruanaidh and Thierry Pun

International

Application No.

PCT/IB98/01500

Priority Date Claimed:

September 26, 1997

U.S. Serial No.

Not Yet Known

U.S. Filing Date

Herewith

For

METHOD FOR GENERATING AND VERIFYING DIGITAL

WATERMARKS AND FOR EXCHANGING DATA

CONTAINING DIGITAL WATERMARKS

1185 Avenue of the Americas New York, New York 10036

March 23, 2000

Box PCT Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

EXPRESS MAIL CERTIFICATE OF MAILING FOR ABOVE-IDENTIFIED APPLICATION

| "Express Mail" mailing labe Date of Deposit: | number: EJ170325443US March 23, 2000 |
|---|--|
| Mail Post Office to Address | r or fee is being deposited with the United States Postal Service "Expresse" service under 37 C.F.R. § 1.10 on the date indicated above and is mmissioner for Patents, Washington, D.C. 20231. |
| Printed Name: | 2) 15COA |

Respectfully submitted,

Donald S. Dowden, Reg. No. 20,701

Attorney for Applicants Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

(212) 278-0400